LEGISLATIVE RESOURCE CENTER

Original Amendment

2013 AUG 30 PM 2: 53

U.S. House of Representatives Committee on Ethics

OFFICE OF THE CLERK S. HOUSE OF REPRESENTATIVES

MEMBER / OFFICER POST-TRAVEL DISCLOSURE FORM

This form is for disclosing the receipt of travel expenses from a private source for travel taken in connection with a Member or officer's official duties. This form does not eliminate the need to report privately-funded travel on the Member or officer's annual Financial Disclosure Statement. In accordance with House Rule 25, clause 5, you must complete this form and file it with the Clerk of the House, B-106 Cannon House Office Building, within 15 days after travel is completed. Please do not file this form with the Committee on Ethics.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

1.	Name of Traveler: Cong. Bobby L. Rush
2.	a. Name of accompanying relative. dre to illnes, my wife did or None of b. Relationship to Traveler: Spouse Child Other (specify) not attend.
3.	a. Dates of departure and return: Departure: 08-12-13 Return: 08-19-13 b. Dates at personal expense: or None
4.	Departure city: Chicago Destination: Ethiopia Return city: Chicago
5.	Sponsor(s) (who paid for the trip): Aspen Institute Congressional Program
6.	Describe meetings and events attended (attach additional pages if necessary): Please refer to attachments
7.	Attached to this form are EACH of the following (signify that each item is attached by checking the corresponding box): a. a completed Sponsor Post-Travel Disclosure Form; b. the Primary Trip Sponsor Form completed by the trip sponsor prior to the trip, including all attachments and Grantmaking or Non-Grantmaking Sponsor Forms; c. page 2 of the completed Traveler Form submitted by the Member or officer; and d. the letter from the Committee on Ethics approving my participation on this trip.
8.	 a. I represent that I participated in each of the activities reflected in the sponsor's agenda. (Signify that statement is true by checking box): □ b. If not, explain: □
For U.S pri	ertify that the information contained in this form is true, complete, and correct to the best of my owledge. I have determined that all of the expenses on the attached Sponsor Post-Travel Disclosure rm were necessary and that the travel was in connection with my duties as a Member or officer of the S. House of Representatives and would not create the appearance that I am using public office for vate gain. GNATURE OF MEMBER: DATE:
Vers	sion date 2/2013 by Committee on Ethics

Original Amendment				
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SPONSOR POST-TRAVEL DISCLOSURE FORM

This form must be completed by an officer of any organization that served as the primary trip sponsor in providing travel expenses or reimbursement for travel expenses to House Members, officers, or employees under House Rule 25, clause 5. A completed copy of the form must be provided to each House Member, officer, or employee who participated on the trip within 10 days of their return. You must answer all questions, and check all boxes, on this form for your submission to comply with House rules and the Committee's travel regulations. Failure to comply with this requirement may result in the denial of future requests to sponsor trips and/or subject the current traveler to disciplinary action or a requirement to repay the trip expenses.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

Travel Destina	ation(s): Addis A	baba, Ethiopia			
Date of Departure: August 12, 2013 Name(s) of Traveler(s): Bobby Rush			Date of Return: August 19, 2013		
	90000 1 10000 10000 10000		form only if al	ll informati	on is identical for each person listed.)
Actual amoun	nt of expenses paid	d on behalf of, or	reimbursed to,	each indiv	idual named in response to Question 4:
	Total Transportation Expenses	Total Lodging Expenses	Total Meal Expenses	Other Ex (dollar a	xpenses mount per item and description)
98		1			
Traveler	\$7579	\$1872	\$400	\$110 -	visa
Accompanying Relative	7				
Accompanying Relative All expenses of statement is true.	connected to the tr	ip were for actual	costs incurred	d and not a	
Accompanying Relative All expenses of statement is truly in the statement	connected to the true by checking box are information con	ip were for actual i):	costs incurred	d and not a	per diem or lump sum payment. (Sign
Accompanying Relative All expenses of statement is truly in the statement	connected to the true by checking box	ip were for actual i):	costs incurred	d and not a	per diem or lump sum payment. (Signature of the best of my knowledge.
Accompanying Relative All expenses of statement is truly in the statement is truly in the statement in the signature: Name: Dan Organization:	connected to the true by checking box as information con Glickman	ip were for actual itained in this form Congressiona	costs incurred	and not a lete, and co	per diem or lump sum payment. (Signerect to the best of my knowledge.
Accompanying Relative All expenses of statement is truly account of the statement is truly account of the signature: Name: Dan Organization: I am an office	connected to the true by checking box as information con Glickman	ip were for actual this form tained in this form Congressional med organization	costs incurred is true, complete la Program in (signify state)	and not a lete, and co	per diem or lump sum payment. (Signature or payment) prect to the best of my knowledge.
Accompanying Relative All expenses of statement is truly and the Signature: Name: Dan Organization: I am an office Address: One	connected to the true by checking box as information con Glickman Aspen Institute of the above-name	ip were for actual tained in this form Congressiona med organization NW, Suite 700	costs incurred is true, complete la Program in (signify state)	and not a lete, and co	per diem or lump sum payment. (Signature or payment) prect to the best of my knowledge.
Accompanying Relative All expenses of statement is truly accompanying Relative All expenses of statement is truly accompanies that the Signature: Name: Dan Organization: I am an office Address: One Wa	connected to the true by checking box as information con Glickman Aspen Institute of the above-name Dupont Circle,	congressional NW, Suite 700	costs incurred is true, complete la Program in (signify state)	and not a lete, and co	per diem or lump sum payment. (Signature or payment) prect to the best of my knowledge.

TRAVELER FORM

1.	Name of Traveler: Bobby L. Rush
2.	Sponsor(s) (who will be paying for the trip): Aspen Institute Congressional Program (AICP)
3.	Travel destination(s): Addis Ababa, Ethiopia
4.	a. Date of departure August 12, 2013 Date of return: August 19, 2013
	 b. Will you be extending the trip at your personal expense? ☐ Yes ☐ No If yes, dates at personal expense:
5.	 a. Will you be accompanied by a relative at the sponsor's expense? ■ Yes □ No b. If yes: (1) Name of accompanying relative: Carolyn A. Rush
	(2) Relationship to traveler: ■ Spouse □ Child □ Other (specify):
	(3) Accompanying relative is at least 18 years of age: ■ Yes □ No
6.	 a. Did the trip sponsor answer "yes" to Question 9(d) on the Primary Trip Sponsor Form (i.e., travel is sponsored by an entity that employs a registered federal lobbyist or foreign agent and you are requesting lodging for two nights)? ☐ Yes ☐ No b. If yes, explain why the second night of lodging is warranted:
7.	Primary Trip Sponsor Form is attached, including agenda, invitee list, and any other attachments and contributing sponsor forms: Yes No NoTE: The agenda should show the traveler's individual schedule, including departure and arrival times and identify the specific events in which the traveler will be participating.
8.	Explain why participation in the trip is connected to the traveler's <u>individual</u> official or representational duties. Staff should include their job title and how the activities on the itinerary relate to their duties.
	As a Co-Chair of the African Partnership for Economic Growth Caucus, and as the Ranking Member of the Energy & Power Subcommittee, I will focus on promoting economic development.
9.	Is the traveler aware of any registered federal lobbyists or foreign agents involved in planning, organizing, requesting, and/or arranging the trip? Yes No
10.	FOR STAFF TRAVELERS: TO BE COMPLETED BY YOUR EMPLOYING MEMBER:
	ADVANCED AUTHORIZATION OF EMPLOYEE TRAVEL
	I hereby authorize the individual named above, an employee of the U.S. House of Representatives who works under my direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described travel is in connection with my employee's official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for private gain.
	Date:
	Signature of Employing Member

PRIMARY TRIP SPONSOR FORM

This form should be completed by private entities offering to provide travel or reimbursement for travel to House Members, officers, or employees under House Rule 25, clause 5. A completed copy of the form (and any attachments) should be provided to each invited House Member, officer, or employee, who will then forward it to the Committee together with a Traveler Form <u>at least 30 days before the start date of the trip</u>. The trip sponsor should NOT submit the form directly to the Committee. The Committee Web site (ethics.house.gov) provides detailed instructions for filling out the form.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.

	spen Institute Co	ongressional Program (AICP)
		rip will not be financed (in whole or in part) by a registered federal lobbyist or foreign agen
a. b.	finance any aspe the trip is arrang funds only from the primary trip or part of this trip If "c" is checked	expresent that: sponsor has not accepted from any other source funds intended directly or indirectly to consider the trip \Box or ed without regard to congressional participation and the primary trip sponsor has accepted entities that will receive a tangible benefit in exchange for those funds \Box or sponsor has accepted funds from other source(s) intended directly or indirectly to finance also and has enclosed disclosure forms from each of those entities. \Box that the names of the additional sponsors: MacArthur and Rockefeller Brothers Fund foundations provided grants to AICP.
pr	ovide names and ovide an explana ee attached list	titles of ALL House Members and employees you are inviting. For each House invitee tion of why the individual was invited (include additional pages if necessary):
	(T)	ed to an accompanying relative of the House invitee(s)? Yes No
Da	ite of departure:	Aug. 12 Date of return: Aug. 19
	ite of departure:	
a.	City of departur	e: Washington, DC or district
	City of departure Destination(s):	e: Washington, DC or district Addis Ababa, Ethiopia
a. b. c.	City of departur Destination(s): City of return:	e: Washington, DC or district Addis Ababa, Ethiopia Washington, DC or district
a. b. c.	City of departur Destination(s): City of return: epresent that (checker)	e: Washington, DC or district Addis Ababa, Ethiopia Washington, DC or district ck one of the following): the trip is an institution of higher education within the meaning of section 101 of the Higher
a. b. c. I re a. b.	City of departur Destination(s): City of return: epresent that (chee The sponsor of the Education Act of The sponsor of the The sponsor emp a one-day event	e: Washington, DC or district Addis Ababa, Ethiopia Washington, DC or district ck one of the following): the trip is an institution of higher education within the meaning of section 101 of the Higher
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a. b. c. I re a. b. c.	City of departure Destination(s): City of return: epresent that (check The sponsor of the Education Act of The sponsor of the The sponsor emple a one-day event trip was de minimateck one of the following the control of the control	Addis Ababa, Ethiopia Washington, DC or district Washington, DC or district Ck one of the following): The trip is an institution of higher education within the meaning of section 101 of the Higher of 1965: The trip does not retain or employ a registered federal lobbyist or foreign agent: The trip does not retains a registered federal lobbyist or foreign agent: The trip is for attendance a cand lobbyist/foreign agent involvement in planning, organizing, requesting, or arranging the chairs under the Committee's travel regulations. The trip is an institution of higher education within the meaning of section 101 of the Higher of 1965: The trip is an institution of higher education within the meaning of section 101 of the Higher of 1965: The trip is an institution of higher education within the meaning of section 101 of the Higher of 1965: The trip is an institution of higher education within the meaning of section 101 of the Higher of 1965: The trip is an institution of higher education within the meaning of section 101 of the Higher of 1965: The trip is an institution of higher education within the meaning of section 101 of the Higher of 1965: The trip is an institution of higher education within the meaning of section 101 of the Higher of 1965: The trip is an institution of higher education within the meaning of section 101 of the Higher of 1965: The trip is an institution of higher education within the meaning of section 101 of the Higher of 1965: The trip is an institution of higher education within the meaning of section 101 of the Higher of 1965: The trip is an institution of higher education within the meaning of section 101 of the Higher of 1965: The trip is an institution of higher education within the meaning of section 101 of the Higher of 1965: The trip is an institution of higher education within the meaning of section 101 of the Higher of 1965: The trip is an institution of higher education within the meaning of section 101 of the Higher of 1965: The trip is an
a. b. c. I re a. b. c.	City of departure Destination(s): City of return: epresent that (checked Section 1) Education Act of the sponsor of the sponsor of the sponsor emple a one-day event trip was de minimate one of the fold I checked Section 2) I checked Section 2	Addis Ababa, Ethiopia Washington, DC or district **Ck one of the following**: The trip is an institution of higher education within the meaning of section 101 of the Higher of 1965: The trip does not retain or employ a registered federal lobbyist or foreign agent: **Description**: **Descript
a. b. c. I re a. b. c. Ch a. b. c.	City of departur Destination(s): City of return: epresent that (chec The sponsor of th Education Act of The sponsor emp a one-day event trip was de minim eck one of the foll I checked 8(a) of I checked 8(b) a I checked 8(c) a	Addis Ababa, Ethiopia Washington, DC or district **Ck one of the following*): the trip is an institution of higher education within the meaning of section 101 of the Higher of 1965: **Description** or employ a registered federal lobbyist or foreign agent: **Description** or retains a registered federal lobbyist or foreign agent: **Description** or attendance a tend lobbyist/foreign agent involvement in planning, organizing, requesting, or arranging the sunder the Committee's travel regulations. **Description** lowing: **Description** or the following is a tend of the following: **Description** or district in the following is a tend of the following: **Description** or district in the following is a tend of the following: **Description** or district in the following is a tend of the following: **Description** or district in the following is a tend of the following: **Description** or district in the following is a tend of the following: **Description** or district in the following is a tend of the following: **Description** or district in the following is a tend of the following: **Description** or district in the following is a tend of the following: **Description** or district in the following is a tend of the following: **Description** or district in the following: **D
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10.									nicipating in duri is attached by ch	ing the travel (i.e., ecking box): \blacksquare
11.	em	epresent that	ny segmen	t of the trip	(signify tha	t the st	atement i		t accompany Ho checking box):	ouse Members or
12.		<u>h</u> sponsor re l its role in o					oe the spo	nsor's i	nterest in the sul	bject matter of the
	of Cor and ha Fund t Africa these	ngress on a as selected foundations s Emergend	range of pand invite provide goe: Challe	oublic polic d the atten rants to Alo nges and C	y issues. A dees. The CP and ea Opportuniti	Gates ch is i es for	ias organs, Luce, I nterested the Unite	nized an MacArti d in core ed State	nd will conduct nur and Rockef igressional edu es. As grant-ma	s for members the conference, eller Brothers cation in aking sponsors, or selecting
13.	Answer	parts a and	b. Answer	part c if nec	essary.					
	a. Mo	de of travel:	Air 🗏	Rail 🗆 B	sus 🗆 Ca	ar 🗆	Other [l (Speci	fy:)
	b. Cla	ass of travel:	Coach 🗆	Business	First	☐ C	harter 🗆	Other	☐ (Specify:	
									such travel is wa	
	-	-00/07/20/00/00/00/00/00/00/00/00/00/00/00/00/					X21 1.000			
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	recreati I repres	onal activitie	es of the invertee or (check or	vitee(s). (signe of the following the signe of the following the signe of the following the signe of the sign	mify that tho	e state	ment is tr	ue by cl	necking box): 🗏	ed to personal or participation and
	that		ded to cor							rchased by other
				t are arrange	ed specifica	lly wit	h regard	to congr	essional particip	ation: 🖃
	20	b" is checke		C 1 /				. 1 . 18		
	1)	\$80	st per day	of meals (ap	proximate	cost m	ay be prov	videa):		
	2)	Provide reas Addis Abal							program.	
	12		***					and the same of th		
16.	Name, r	nightly cost,	and reasons	s for selectin	g each hote	el or ot	her lodgir	ng facili	ty:	
	Hotel n	ame: Sher	aton			City:	Addis At	oaba	Cost per night:	\$312
	Reaso	n(s) for selec	cting:	rs conference services r	equired including tech	nology and m	ecling space. In ad	dition, facility se	curity was a key factor including	private meeting and dining rooms
	Hotel n	ame:				City:_			Cost per night:	
		n(s) for selec		The second secon						
	Hotel n	ame:				City:_			Cost per night:	***************************************
	Reaso	n(s) for selec	ting:							

17. I represent that all expenses connected to the trip will be for actual costs incurred and not a per diem or lump sum payment. (signify that the statement is true by checking box):

18. TOTAL EXPENSES FOR EACH PARTICIPANT:

actual amounts good faith estimates	Total Transportation Expenses per Participant	Total Lodging Expenses per Participant	Total Meal Expenses per Participant
For each Member, Officer, or employee	*7,400	*1,716	4400
For each accompanying relative	*1,400	- 0-	\$400

ı	Other Expenses (dollar amount per item)	Identify Specific Nature of "Other" Expenses (e.g., taxi, parking, registration fee, etc.)
For each Member, Officer, or employee	4 110	Vis2
For each accompanying relative	# 110	visa

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

	F
19.	Check one: a. I certify that I am an officer of the organization listed below. or b. N/A – sponsor is an individual or a U.S. institution of higher education.
20.	I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip.
21.	I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge. Signature:
	Name: Dan Glickman
	Title: Executive Director, Congressional Program
	Organization: The Aspen Institute
	Address: One Dupont Circle, NW, Suite 700, Washington, DC 20036-1133
	Telephone number: 202-736-5825
	Email address: dan.glickman@aspeninstitute.org

If there are any questions regarding this form please contact the Committee at the following address:

Committee on Ethics U.S. House of Representatives 1015 Longworth House Office Building Washington, DC 20515 (202) 225-7103 (phone) (202) 225-7392 (general fax)

GRANTMAKING TRIP SPONSOR FORM

This form should be completed by a public charity or private foundation (both as defined under section 501(c)(3) of the Internal Revenue Code) that provides a grant of funds to another entity to underwrite, in whole or in part, a trip or an event, meal, or activity that will occur during a trip, or a necessary expense that will be incurred during a trip, with express or implicit knowledge or understanding that one or more House Members or employees may participate in or attend that trip or event, or otherwise may be beneficiaries of the gift or donation. Provide a copy of your completed form to the primary sponsor of the trip.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.

1	I certify that (name of your organization): The Bill & Melinda Gates Foundation
	has been designated a § 501(c)(3) nonprofit charitable organization by the internal Revenue Service.
2.	Name of Primary Trip Sponsor: Aspen Institute Congressional Program
3.	 I certify that my organization (complete a or b): a. Has provided a grant, gift, or donation to the above-named Primary Trip Sponsor and conducts an audit or review of its grant, gift, or donation to ensure that the funds are spent in accordance with the terms of its grant, gift, or donation. or b. Has had a direct role in the organizing, planning, or conducting of a trip to
	(destination) on (date) that is being organized or arranged by the above-named Primary Trip Sponsor.
4.	Check one: a. My organization does not employ or retain a registered federal lobbyist or foreign agent or b. My organization employs a registered federal lobbyist or foreign agent, but their involvement in planning, organizing, or arranging the trip was de minimis under the travel regulations.
5.	I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip. 🗏
6.	I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge. Signature:
	Name: Katy Button Title: Seriar Program officer Organization: The Bill & Melinda Gates Foundation
	Organization: The Bill & Melinda Gates Foundation
	Address: P.O. Box 6178, Franklin Station, Washington, DC 20044
	Telephone number: 202-662-8122 Email: Katy.button@gatesfoundation.org
If t	here are any questions regarding this form please contact the Committee at the following address:
	Committee on Ethics

U.S. House of Representatives 1015 Longworth House Office Building Washington, DC 20515 (202) 225-7103 (phone) (202) 225-7392 (general fax)

GRANTMAKING TRIP SPONSOR FORM

This form should be completed by a public charity or private foundation (both as defined under section 501(c)(3) of the Internal Revenue Code) that provides a grant of funds to another entity to underwrite, in whole or in part, a trip or an event, meal, or activity that will occur during a trip, or a necessary expense that will be incurred during a trip, with express or implicit knowledge or understanding that one or more House Members or employees may participate in or attend that trip or event, or otherwise may be beneficiaries of the gift or donation. Provide a copy of your completed form to the primary sponsor of the trip.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.

	A L. D. and O. Warden T. Marchalle of Francisco
1.	I certify that (name of your organization): John D. and Catherine T. MacArthur Foundation
	has been designated a § 501(c)(3) nonprofit charitable organization by the Internal Revenue Service.
_	Name of Primary Trip Sponsor: Aspen Institute Congressional Program
2.	Name of Primary Trip Sponsor:
3.	 I certify that my organization (complete a or b): a. Has provided a grant, gift, or donation to the above-named Primary Trip Sponsor and conducts an audit or review of its grant, gift, or donation to ensure that the funds are spent in accordance with the terms of its grant, gift, or donation. or b. Has had a direct role in the organizing, planning, or conducting of a trip to
	(destination) on (date) that is being organized or arranged by the above-named Primary Trip Sponsor.
	being organized or arranged by the above-named Primary Trip Sponsor.
4.	 Check one: a. My organization does not employ or retain a registered federal lobbyist or foreign agent or b. My organization employs a registered federal lobbyist or foreign agent, but their involvement in planning, organizing, or arranging the trip was de minimis under the travel regulations.
5.	I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip. 🗵
6.	I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge.
	Signature: Cliptur Partie
	Signature: Clystik Revere Name: Elspeth Revere Title: Vice President, Media, Culture and Special Initiatives
	Organization: John D. and Catherine T. MacArthur Foundation
	Address: 140 S. Dearborn St., Chicago, IL 60603
	Telephone number: 312-726-8000 Email: erevere@macfound.org
If	there are any questions regarding this form please contact the Committee at the following address:
	Committee on Ethics U.S. House of Representatives 1015 Longworth House Office Building Washington, DC 20515 (202) 225-7103 (phone) (202) 225-7392 (general fax)
Ver	sion date 4/2013 by Committee on Ethics MacArthur Foundation Grant No. 99476 dated December 13, 2011

GRANTMAKING TRIP SPONSOR FORM

This form should be completed by a public charity or private foundation (both as defined under section 501(c)(3) of the Internal Revenue Code) that provides a grant of funds to another entity to underwrite, in whole or in part, a trip or an event, meal, or activity that will occur during a trip, or a necessary expense that will be incurred during a trip, with express or implicit knowledge or understanding that one or more House Members or employees may participate in or attend that trip or event, or otherwise may be beneficiaries of the gift or donation. Provide a copy of your completed form to the primary sponsor of the trip.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.

1	I certify that (name of your organization): The Henry Luce Foundation The Henry Luce Foundation Service: The Henry Luce Foundation
•	has been designated a § 501(c)(5) nonprofit charmable organization by the internal revenue pervise.
2.	Name of Primary Trip Sponsor: Aspen Institute Congressional Program
3.	 I certify that my organization (complete a or b): a. Has provided a grant, gift, or donation to the above-named Primary Trip Sponsor and conducts an audit or review of its grant, gift, or donation to ensure that the funds are spent in accordance with the terms of its grant, gift, or donation. or b. Has had a direct role in the organizing, planning, or conducting of a trip to
	(destination) on (date) that is being organized or arranged by the above-named Primary Trip Sponsor.
4.	 Check one: a. My organization does not employ or retain a registered federal lobbyist or foreign agent <u>or</u> b. My organization employs a registered federal lobbyist or foreign agent, but their involvement in planning, organizing, or arranging the trip was <u>de minimis</u> under the travel regulations.
5.	I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip.
6.	I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge.
	Signature: Thylice Vollen Name: Toby Alice Volkman Title: Secretary - Director of Rolling Initiatives Organization: The Henry Luce Foundation
	Address: 51 Madison Ave., New York, NY 10010
	Telephone number: 212-489-7700 Email: Tvolkman @ hluce.org
If	there are any questions regarding this form please contact the Committee at the following address:
	Committee on Ethics U.S. House of Representatives 1015 Longworth House Office Building Washington, DC 20515 (202) 225-7103 (phone) (202) 225-7392 (general fax)

GRANTMAKING TRIP SPONSOR FORM

This form should be completed by a public charity or private foundation (both as defined under section 501(c)(3) of the Internal Revenue Code) that provides a grant of funds to another entity to underwrite, in whole or in part, a trip or an event, meal, or activity that will occur during a trip, or a necessary expense that will be incurred during a trip, with express or implicit knowledge or understanding that one or more House Members or employees may participate in or attend that trip or event, or otherwise may be beneficiaries of the gift or donation. Provide a copy of your completed form to the primary sponsor of the trip.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.

1.	I certify that (name of your organization): Rockefeller Brothers Fund
	has been designated a § 501(c)(3) nonprofit charitable organization by the internal Revenue Service.
2.	Name of Primary Trip Sponsor: Aspen Institute Congressional Program
3.	 I certify that my organization (complete a or b): a. Has provided a grant, gift, or donation to the above-named Primary Trip Sponsor and conducts an audit or review of its grant, gift, or donation to ensure that the funds are spent in accordance with the terms of its grant, gift, or donation. or b. Has had a direct role in the organizing, planning, or conducting of a trip to
	(destination) on (date) that is being organized or arranged by the above-named Primary Trip Sponsor.
4.	Check one: a. My organization does not employ or retain a registered federal lobbyist or foreign agent or
	 b. My organization employs a registered federal lobbyist or foreign agent, but their involvement in planning, organizing, or arranging the trip was de minimis under the travel regulations.
5.	I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip. 🗏
6.	I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge.
	Signature: 54 +1
	Name: STEPHEN HEINTZ Title: PRESIDENT
	Organization: Rockefeller Brothers Fund
	Address: 475 Riverside Drive, New York, NY 10115
	Telephone number: 212-812-4389 Bmail: Sheintzarbt.org
ft	here are any questions regarding this form please contact the Committee at the following address:
	Committee on Ethics U.S. House of Representatives 1015 Longworth House Office Building Washington, DC 20515 (202) 225-7103 (phone) (202) 225-7392 (general fax)

K. Michael Conaway, Texas Chairman Linda T. Sánchez, California Ranking Member

Charles W. Dent, Pennsylvania Patrick Meehan, Pennsylvania Trey Gowdy, South Carolina Susan W. Brooks, Indiana

Pedro R. Pierluisi, Puerto Rico Michael E. Capuano, Massachusetts Yvette D. Clarke, New York Ted Deutch, Florida



ONE HUNDRED THIRTEENTH CONGRESS

U.S. House of Representatives

COMMITTEE ON ETHICS

July 12, 2013



Daniel A. Schwager Staff Director and Chief Counsel

Joanne White Administrative Staff Director

Jackie M. Barber Counsel to the Chairman

Daniel J. Taylor Counsel to the Ranking Member

1015 Longworth House Office Building Washington, D.C. 20515-6328 Telephone: (202) 225-7103 Facsimile: (202) 225-7392

The Honorable Bobby L. Rush U.S. House of Representatives 2268 Rayburn House Office Building Washington, DC 20515

Dear Colleague:

Pursuant to House Rule 25, clause 5(d)(2), the Committee on Ethics hereby approves you and your spouse's proposed trip to Ethiopia, scheduled for August 12 to 19, 2013, sponsored by the Aspen Institute, with support from the Bill and Melinda Gates Foundation, the John D. and Catherine T. MacArthur Foundation, the Henry Luce Foundation, and the Rockefeller Brothers Fund.

You must complete a Member/Officer Post-Travel Disclosure Form and file it, together with a Sponsor Post-Travel Disclosure Form completed by the trip sponsor, with the Clerk of the House within 15 days after your return from travel. As part of that filing, you are also required to attach a copy of this letter and both the Traveler and Primary Trip Sponsor Forms (including attachments) you previously submitted to the Committee in seeking pre-approval for this trip. You must also report all travel expenses totaling more than \$350 from a single source on Schedule VII of your annual Financial Disclosure Statement.

Because the trip may involve meetings with foreign government representatives, we note that House Members may accept, under the Foreign Gifts and Decorations Act, gifts "of minimal value [currently \$350] tendered as a souvenir or mark of courtesy" by a foreign government. Any tangible gifts valued in excess of \$350 received from a foreign government must, within 60 days of acceptance, be disclosed on a Form for Disclosing Gifts from Foreign Governments and either turned over to the Clerk of the House, or, with the written approval of the Committee, retained for official use.

The Honorable Bobby L. Rush Page 2

If you have any further questions, please contact the Committee's Office of Advice and Education at extension 5-7103.

Sincerely,

K. Michael Conaway

Linda T. Sánchez Ranking Member

KMC/LTS:tn

Answer to Question #4 - Updated June 26, 2013

- 1. Rep. Karen Bass, member of the Foreign Affairs Committee
- 2. Rep. Earl Blumenauer, member of the Ways and Means Committee
- 3. Rep. Mike Capuano, member of the Financial Services Committee
- 4. Rep. Tom Cole, member of the Appropriations Committee
- 5. Rep. Jim Cooper, member of the Armed Services Committee
- 6. Rep. Susan Davis, member of the Armed Services Committee
- 7. Rep. Diana DeGette, member of the Energy & Commerce Committee
- 8. Rep. Charlie Dent, member of the Appropriations Committee
- 9. Rep. Lloyd Doggett, member of the Ways & Means Committee
- 10. Rep. Anna Eshoo, member of the Energy & Commerce Committee
- 11. Rep. Sam Farr, member of the Appropriations Committee
- 12. Rep. John Garamendi, member of the Armed Services Committee
- 13. Rep. Scott Garrett, member of the Financial Services Committee
- 14. Rep. Barbara Lee, member of the Appropriations Committee
- 15. Rep. Zoe Lofgren, member of the Judiciary Committee
- 16. Rep. Billy Long, member of the Energy & Commerce Committee
- 17. Rep. Carolyn Maloney, member of the Oversight & Government Reform Committee
- 18. Rep. George Miller, ranking member of the Education & the Workforce Committee
- 19. Rep. Erik Paulsen, member of the Ways & Means Committee
- 20. Rep. Tom Petri, member of the Education & the Workforce Committee
- 21. Rep. David Price, member of the Appropriations Committee
- 22. Rep. Bobby Rush, member of the Energy & Commerce Committee
- 23. Rep. Loretta Sanchez, member of the Armed Services Committee
- 24. Rep. Jan Schakowsky, member, of the Energy & Commerce Committee
- 25. Rep. John Tierney, member of the Oversight & Government Reform Committee

Africa's Emergence: Challenges and Opportunities for the United States

August 13-19, 2013 Addis Ababa, Ethiopia

MONDAY, AUGUST 12

Rep. Rush and Carolyn Rush depart Chicago for Istanbul on Turkish Airlines 6 at 10:15 pm.

TUESDAY, AUGUST 13

American participants depart the U.S. for Addis Ababa Rep. Rush and Mrs. Rush arrive in Istanbul at 5:05 pm, connecting to Turkish Airlines 676 to Addis Ababa at 6:50 pm.

WEDNESDAY, AUGUST 14

Participants arrive in Addis Ababa throughout the day Rep. Rush and Mrs. Rush arrive in Addis at 12:15 am.

6:00-8:30 pm

Working Dinner

Scholars and Members of Congress will explore topics covered in the conference. Seating is arranged to expose participants to a diverse range of views and provide opportunity for a meaningful exchange of ideas. Scholars and lawmakers are rotated daily.

THURSDAY, AUGUST 15

7:30-9:00 am

Breakfast

9:00 am

Welcome and Framework of the Conference

Dan Glickman, Executive Director Aspen Institute Congressional Program

9:15 am

U.S. Strategic Interests in Africa and Political Developments

Sub-Sahara Africa (SSA) comprises 49 diverse countries, each with their own histories, levels of economic and political development, cultures and languages. The region is viewed as one of growing strategic interest by the United States, Europe, China, Russia, and numerous other countries for its oil, mineral

resources, and land. On security issues, SSA poses a number of challenges in the form

of civil wars, border conflicts, terrorist activities, and pirating, that has prompted the United States to form a new military command—AFRICOM.

- What are the United States' strategic interests in Africa?
- What is the role of AFRICOM and what has been its reception?
- What are the current conflicts and possible outcomes/consequences for the United States?
- How severe is the threat of extremist Islamic movements and what is the international or U.S. role?
- What are the interests of China, Russia, and others in SSA and how is it manifested either in conflict or in cooperation with U.S. goals?
- How significant are conflicts in the Congo and movements such as the Lord's Resistance Army; and what responsibility, if any, does the international community have?
- What level of involvement does the U.S. have in multilateral peacekeeping operations based in Africa?
- How does AFRICOM work with civilian, diplomatic, and development agencies to support U.S. foreign policy objectives?

General David Rodriguez, U.S. AFRICOM, Stuttgart (invited)

10:45 am

Break

11:00 am

Session resumes

1:00-2:30 pm

Working Lunch

U.S. Relations with Ethiopia

Ethiopia is the second most populous country in Africa with a population of 86 million people in 2013. It is a federal parliamentary republic although it is criticized for having democracy deficits in the form of limitations on press freedoms, human rights, and the level of government control of the private sector. Nonetheless, its economy is one of the fastest growing on the continent and it has been a key U.S. ally in the Horn of Africa. The country faces a number of challenges in the form of low agricultural productivity and frequent droughts that affect food security, health issues including HIV/AIDS, and poverty. Addis is a major African hub with a number of headquarters for international companies and regional political organizations.

Donald Booth, U.S. Ambassador to Ethiopia (invited)

3:00-4:00 pm

Individual Discussions

Meetings will be scheduled between Members of Congress and individual scholars to discuss U.S. foreign policy. Scholars to meet with Members of Congress include David Rodriquez and Donald Booth for in-depth discussion of ideas raised in the morning and luncheon sessions.

7:00-9:30 pm

Working Dinner

Malawi: An Example of Africa's Progress

Scholars and Members of Congress will dine with President Banda who is a dynamic leader for both her country and the continent. Since 2004 President Banda has served in a number of government positions: as a member of Parliament, Minister of Child Welfare, Foreign Minister, and Vice President. She has been a champion of empowering women and protecting children and youth. The dinner will be a great opportunity to have a dialogue with one of Africa's most respected leaders.

Joyce Banda, President of Malawi (invited)

FRIDAY, AUGUST 16

7:30-9:00 am

Working Breakfast

Policy Concerns in Africa: An Ethiopian Perspective

Tedros Ghebreyesus, Foreign Minister of Ethiopia

9:00 am

Africa's Economic Development: The Role of Aid, Trade and Business

Despite a faltering global economy over the last several years, SSA has experienced record annual growth rates exceeding 5%. Many major U.S. companies see the continent as a growth market and are making significant developments there. Yet, there are hurdles to building a robust business climate remain—largely in the form of corruption, infrastructure deficits, regulatory burdens and a poorly-educated workforce. The United States allocates approximately \$8 billion each year throughout Africa for programs focused on health, food security, and governance. (The largest recipients of aid are Kenya, Ethiopia, and Nigeria.) The United States is currently discussing a trade partnership agreement with the East Africa Community that some see as a model for future U.S.-Africa trade relations. Several countries are on track to meet the Millennium Development Goals of halving rates of poverty and hunger by 2015.

- With impressive growth rates, how necessary is U.S. foreign assistance?
- To what extent, or when, can other forms of cooperation—in the form of trade and investment—supplant assistance?
- What has been the role of the Africa Growth and Opportunity Act (AGOA) in supporting development in Africa? What are its current limitations?
- How does the United States support political and economic environments that are conducive to U.S. business investments?
- Despite the noted progress and promising signs for the future, what are the current bottlenecks in Africa's development?

Steven Radelet, Georgetown University Gebisa Ejeta, Purdue University Noon

Depart Hotel for Site Visit to Peace Corps Locations in Ambo

Accompanied by Peace Corps volunteers stationed in Ethiopia

(boxed lunch provided on the bus)

2:00 pm

Arrival in Ambo

Site visit to health office and primary school

staffed by Peace Corps volunteers

Josh Cook, Peace Corps Ethiopia Jennifer Kline, Peace Corps Ethiopia Alicia Smith, Peace Corps Ethiopia

3:15 pm

Depart Ambo

5:15 pm

Arrive back at hotel

7:00-9:30 pm

Working Dinner

Introduction of Peace Corps Volunteers posted in Ethiopia
Carrie Hessler-Radelet, Acting Peace Corps Director

Scholars and Members of Congress will explore topics covered in the conference. Seating is arranged to expose participants to a diverse range of views and provide opportunity for a meaningful exchange of ideas. Scholars and lawmakers are rotated daily. This evening Peace Corps volunteers stationed in Ethiopia will join us

for dinner.

SATURDAY, AUGUST 17

7:30-9:00 am

Breakfast

9:00 am

Global Competition from China and Others for

Africa's Resources

Press reports and other analysis point to the growing interest of China, Gulf States, and other countries in Africa's varied resources. In some instances this manifests itself in so-called "land grabs" in which foreign entities seek to purchase or rent on a long-term basis vast tracks of land (and its water resources) for agricultural production to be exported back to the investing country. Such arrangements are believed to include minimal benefits to citizens of the hosting country and are vulnerable to corrupt practices. Other nations see the continent as an important source of oil and minerals and are making investments accordingly. China has been particularly active in recent years—at least one report estimates development assistance at approximately \$75 billion from 2000 to 2011—with investments focused in Angola, the Democratic Republic of the Congo, Ethiopia, and Sudan.

What are China's objectives in Africa, and what is the relevance to the U.S.?

- How do land grabs affect agricultural development? What are their impacts on smallholder farmers and their access to land and water? How extensive is this practice and what are the implications for the continent?
- To what extent does Africa's abundance of certain minerals drive the interests of some foreign countries? Do these activities reinforce a "resource curse"?

Jennifer Cooke, Center for Strategic and International Studies Ali Mufuruki, Chairman, Infotech Investment Group, Dar es Salaam

10:45 am

Break

11:00 am

Sessions resume

The State of Democracy, Human Rights and Religious Freedom in Africa

Democracy has become the norm in SSA, with the majority of African governments being fully or nominally democratic. Just two decades ago, perhaps only three African countries could be considered democracies. Despite this progress, a number of governments score poorly on Freedom House's index of political freedoms. These democracy deficits include limitations on the press, political organizations, and electoral transparency. Ethnic and religious tensions form part of the African landscape with its diversity of tribes, religions and Islamic movements. The 2013 report by the United States Commission on International Religious Freedom (USCIRF) identified three countries-Nigeria, Eritrea and Sudan-in SSA on its Tier 1, representing "systemic, ongoing and egregious violations." It identified Ethiopia as a country being closely monitored but not on its Tier 1 or 2 lists.

- To what extent should U.S. assistance be tied to the forms of governance, standards of human rights and religious freedom?
- What types of programs does the United States conduct that promote democracy and good governance in SSA?
- How does the African Union and Africa's regional economic communities support the movement toward stronger democracies and respect for human rights and religious freedoms?
- To what extent are elections in most African countries considered free and fair?
- What types of sanctions have the United States imposed as a result of USCIRF designations?
- What should U.S. policy be in countries that lack democratic principles, or rank low in regard to human rights and tolerance of religious freedom?

Sarah Margon, Human Rights Watch Mark Green, former U.S. Ambassador to Tanzania Initiative for Global Development Dele Olojede, Pulitzer Prize winning journalist, publisher of NEXT, Lagos

1:00-2:30 pm

Working Lunch

Discussion continues between Members of Congress and scholars

on the challenges and opportunities for U.S. policy in Africa.

3:00 pm

Depart hotel

3:30 pm

Site visit to the Ethiopian Commodity Exchange, recipient of USAID funds

5:00 pm

Arrive back at hotel

7:00-9:30 pm

Working Dinner

Scholars and Members of Congress will explore topics covered in the conference. Seating is arranged to expose participants to a diverse range of views and provide opportunity for a meaningful exchange of ideas. Scholars and lawmakers are rotated daily.

SUNDAY AUGUST 18

7:30-9:00 am

Breakfast

9:00 am

Food Security: Implications for Africa and the World

It is estimated that the global population will grow to more than nine billion people by 2050, putting increasingly difficult demands on farmers to meet growing and changing demands of wealthier consumers. Africa's agricultural development has been stagnant for several decades. Severe weather events, soil erosion, deforestation, pressures on water resources, and bad governance have produced some of the world's worst humanitarian crises and high levels of chronic hunger. The United States has committed significant resources to promote food security and agricultural development in Africa, and African countries have made similar commitments to raising productivity. The United States also provides the World Food Program with significant assistance to respond to humanitarian crises.

- What is the extent of food insecurity in Sub-Saharan Africa and trends for the future?
- Is the era of African famines a plague of the past?
- With the current rate of investments by donors and African governments, when will significant progress begin to be seen with regard to food security?
- What is sustainable intensification? What is the relationship between agricultural and economic growth?
- Does Africa export commodities to the United States? What are barriers to agricultural exports to the United States, Europe, and within the continent?
- What are African attitudes toward genetically modified technology and why is this relevant to U.S. interests or to global food security?
- How does U.S. food aid help to alleviate acute and chronic hunger in Africa?

Gordon Conway, Imperial College, London Khalid Bomba, Ethiopian Agricultural Transformation Agency

10:45 am

Break

11:00 am

Sessions resume

Health Priorities in Africa and the U.S. Role

At the height of the HIV/AIDS epidemic, SSA was considered the poster child of bad practices with regard to disease management and treatment. Since the President's Emergency Plan for HIV/AIDS Relief (PEPFAR), prevalence rates have stabilized and declined in some areas, and effective treatment has extended lives. African countries are increasingly taking on a greater role in prevention and treatment with some observers urging wealthier countries, such as South Africa, to be transitioned off PEPFAR funds. Other diseases, such as malaria, continue to pose considerable problems. Malnutrition plagues many parts of the country that suffer from food insecurity, and the prevention of stunting through better nutrition in the first 1,000 days of life has become a major focus of efforts. At the same time, obesity is becoming more prevalent as wealthier populations adopt a more western-style diet and sedentary lifestyle.

- Given the investments the United States has made with PEPFAR funds, what are the prospects for transitioning recipient countries to other forms of health cooperation?
- Have U.S. programs helped to build the capacity of recipient countries to provide for the health needs of their citizens?
- How do food security and nutrition programs connect with broader health issues in most African countries?
- From the Ebola virus experience, Africa is perceived to be an incubator for global pandemics. Is this perception warranted and, if so, what is the responsibility of the international community?
- What role do U.S. institutions such as the Centers for Disease Control have in Africa?
- What are the remaining challenges that Africa faces in the years ahead?

Steve Morrison, Center for Strategic and International Studies

1:00-2:30 pm

Working Lunch

Discussion continues between Members of Congress and scholars on the challenges and opportunities for U.S. policy in Africa.

3:00-4:00 pm

Individual Discussions

Meetings will be scheduled between Members of Congress and individual scholars to discuss U.S. foreign policy. Scholars to meet with Members of Congress include Gordon Conway, Khalid Bomba and Steve Morrison for in-depth discussion of ideas raised in the morning and luncheon sessions.

6:00 pm

Working Dinner

Scholars and Members of Congress will explore topics covered in the conference. Seating is arranged to expose participants to a diverse range of views and provide opportunity for a meaningful exchange of ideas. Scholars and lawmakers are rotated daily.

7:30 pm

American participants depart for the airport for evening

flights to the U.S.

MONDAY, AUGUST 19

American participants arrive in the U.S.

Rep. Rush and Mrs. Rush depart Addis for Istanbul at 1:15 am on Turkish Airlines 677, arriving at 6:40 am. They depart Istanbul for Chicago at 1:30 pm on Turkish Airlines 5, arriving at 5:25 pm.